

SECTION 3, COMPREHENSIVE STANDARD 3.2.11

The institution's chief executive officer has ultimate responsibility for, and exercises appropriate administrative and fiscal control over, the institution's intercollegiate athletics program.

JUDGMENT OF COMPLIANCE

Compliant

Partially Compliant

Noncompliant

RATIONALE FOR JUDGMENT OF COMPLIANCE

Bylaws, Policies, Principles and Statues which demonstrate that the NGCSU president has ultimate responsibility for, and exercises appropriate administrative control over the athletic program.

Section VI of the *Bylaws* of the University System of Georgia [1] state:

The President of each institution in the University System shall be the executive head of the institution and of all its departments and shall exercise such supervision and direction as will promote the effective and efficient operation of the institution. He or she shall be responsible to the Chancellor for the operation and management of the institution and for the execution of all directives of the Board and the Chancellor.

Section 204 of the *Board of Regents Policy Manual* [2] states:

The President of each institution in the University System shall be the executive head of the institution and of all its departments, and shall exercise such supervision and direction as will promote the efficient operation of the institution. The president shall be responsible to the Chancellor for the operation and management of the institution and for the execution of all directives of the Board and the Chancellor.

Section 702.0102 of the *Board of Regents Policy Manual* [3] speaks to auxiliary enterprises, of which athletics is a part. It states:

The second division -- auxiliary enterprises -- shall be placed on a self-supporting basis, and the state will not make appropriation to finance its operation. Funds collected from these enterprises will be used to

provide the best possible services that can be provided for the amount charged without subsidy or support from the funds of the institutions for maintenance and utility services. Accounting records for auxiliary enterprises will be maintained on the full accrual basis of accounting, therefore, funded depreciation will be required for all auxiliary enterprise service equipment, buildings, infrastructure and facilities, and other improvements. The reserve for depreciation will be used for repair and replacement of auxiliary assets according to guidelines provided in the Business Procedures Manual. The funds collected will be left with the institutions.

Intercollegiate athletics shall be classified as an auxiliary enterprise for financial reporting; however, the provision of this policy prohibiting support from the funds of the institutions for maintenance and utility services shall not apply. Additionally, funds from intercollegiate athletics shall not be commingled with other auxiliary enterprise funds.

Section 702.02 [4] speaks to the budget in regard to athletics and states:

Each institution of the System shall prepare an operating budget for educational and general activities and an operating budget for auxiliary enterprises of the institution for the fiscal year within the limit of funds allocated plus estimated internal income of the institution. Operating budgets of separately incorporated athletic organizations are specifically excluded from this process, although the transfer of student fees to those separately incorporated organizations must be reflected as a single item in the budget submitted to the Chancellor (*BR Minutes*, 1946-47, pp. 214-15).

In addition, according to the 2005-06 NCAA Division II Manual, Constitution, Article 2.1-2.2 – Principles for Conduct of Intercollegiate Athletics states [5]:

2.1 The Principle of Institutional Control and Responsibility

2.1.1 Responsibility for Control – It is the responsibility of each member institution to control its intercollegiate athletics program in compliance with the rules and regulations of the Association. The institution's chief officer is responsible for the administration of all aspects of the athletics program, including approval of the budget and audit of all expenditures.

2.1.2 Scope of Responsibility – The institution's responsibility for the conduct of its intercollegiate athletics program includes responsibility for the actions of its staff members and for the actions of any other individual or organization engaged in activities promoting the athletics interests of the institution.

At North Georgia College & State University, the Director of Athletics reports directly to the President [6], and Article III of the *NGCSU Statutes* states specifically that the Director of Athletics is appointed by the President [7, p.4]. Article XIX of the *NGCSU Statutes* provides the job description of the Director of Athletics. This job description states that the Director reports directly to the president and holds office at the pleasure of the president [8, p.24].

Examples of NGCSU Compliance with 3.2.11

The President of the institution meets on a weekly basis with the Director of Athletics to discuss issues related to the athletics program. He speaks to the entire coaching staff on an annual basis and emphasizes the importance of complying with all institutional, NCAA and Peach Belt Conference rules and regulations. He also speaks to all of the student-athletes at the beginning of Fall semester to discuss the future directions of the athletics programs and to remind the students that they represent the university in everything that they do. The President also regularly briefs the faculty, staff, alumni and other athletics boosters about the program and the requirements of NCAA membership and compliance issues.

The President is the primary representative of the institution to the NCAA and the Peach Belt Conference. He personally casts the vote for the institution at the annual NCAA membership meeting and is intimately involved in setting all policies related to athletics. The President attends all NCAA and Peach Belt Conference meetings throughout the year and serves on the Strategic Advisory Council for the conference.

The institutional intercollegiate athletics program supports ten men's and women's athletics teams in the sports of baseball, basketball, cross country, soccer, softball and tennis. More than 170 student athletes represent the institution, which competes in the Peach Belt Conference of the Division II of the NCAA. The chief executive officer attends NCAA meetings, votes on behalf of the institution, and is the primary individual in determining institutional athletic policy.

Document Reference:

Number	DB Num	Document Title	Resource
1		Bylaws of the Board of Regents, Section VI: Heads of Institutions of the University System	http://www.usg.edu/regents/bylaws/#VI
2		Board of Regents Policy Manual, Section 200:	http://www.usg.edu/regents/policymanual/200.phtml#204

Number	DB Num	Document Title	Resource
		Institutional Governance, Section 204: Authority and Responsibilities	
3		Board of Regents Policy Manual, Section 700: Finance and Business, Section 702.0102: Auxiliary Enterprises	http://www.usg.edu/regents/policymanual/700.phtml#702
4		Board of Regents Policy Manual, Section 700: Finance and Business, Section 702.02: Operating Budgets	http://www.usg.edu/regents/policymanual/700.phtml#702
5		2005-06 NCAA Division II Manual, Constitution, Article 2.1-2.2 – Principles for Conduct of Intercollegiate Athletics	http://www.ngcsu.edu/sacs/Reference_Room/documents/NGCSU_documents/POC_Intercoll_Athletics.pdf
6		NGCSU Organizational Structure, Executive Level	http://www.ngcsu.edu/discover/Charts/ExecutiveLevel_files/gif_1.htm
7		NGCSU Statutes, Article III: The Administrative Officers	http://www.ngcsu.edu/sacs/Reference_Room/documents/NGCSU_documents/Statutes_appr BOR 2005 Feb.pdf , p.4 in PDF.
8		NGCSU Statutes, Article XIX: The Director of Athletics	http://www.ngcsu.edu/sacs/Reference_Room/documents/NGCSU_documents/Statutes_appr BOR 2005 Feb.pdf , p.24 in PDF